

CURRICULUM VITAE

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Education

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1984		History, Religion, Chinese, Beijing Foreign Languages Institute, Beijing, China
1980		Anthropology, Sociology, University of São Paulo, São Paulo, Brazil

BOOK PUBLICATIONS

- 6) National Academies of Sciences, Engineering and Medicine. Sex and Gender Identification and Implications for Disability Evaluation: Consensus Study Report. Committee Members: Hortensia d. Amaro H., Allen H., Bockting W.O., Dizon D.S., Hadland S., Jain R., Mohottige D., Poteat T., Radix A., Safer J.D., Schechter L., Tishelman A., **Whetten K.**, Witchel S.F., Woods, N.F. 2024.
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- 58) Mugavero, M.J., J.L. Raper, S. Reif, **K. Whetten**, J. Leserman, N.M. Thielman, B.W. Pence. "Overload: The Impact of Incident Stressful Events on Antiretroviral Medication Adherence and Virologic Failure in a Longitudinal, Multi-site HIV Cohort Study." Psychosomatic Medicine. 71:920-926. 2009.
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- 1):10-17. 2006.
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- 21) Nguyen, T.Q., **K. Whetten**. "Is Anybody Out There?: Integrating HIV Services in Rural Regions." Public Health Reports. 118(1): 3-9, 2003.
- 20) Schenkman, M., T.M. Cutson, C.W. Zhu, **K. Whetten-Goldstein**. "A Longitudinal Evaluation of Patients' Perceptions of Parkinson's Disease." The Gerontologist, 42(6):790-798, 2002.
- 19) Conover, C.J., **K. Whetten-Goldstein**. "The Impact of Ancillary Services on Primary Care Use and Outcomes for HIV/AIDS Patients with Public Insurance Coverage." AIDS Care 14, Supplement 1 (August 2002), pp. S59-S71.
- 18) **Whetten-Goldstein, K.**, T.Q. Nguyen, A.E. Heald. "Characteristics of Individuals Infected with the Human Immunodeficiency Virus and Provider Interaction in the Predominantly Rural Southeast." Southern Medical Journal. 94(2): 212-222, 2001.
- 17). **Whetten-Goldstein, K.**, T.Q. Nguyen, J. Sugarman. "So Much for Keeping Secrets: the Importance of Considering Patients' Perspectives on Maintaining Confidentiality." AIDS Care. 13(4): 457-466, 2001.
- 16) Schenkman, M., C.W. Zhu, T.M. Cutson, **K. Whetten-Goldstein**. "Longitudinal Evaluation of Economic and Physical Impact of Parkinson's Disease." Parkinsonism and Related Disorders. 8:41-50, 2001.
- 15) **Whetten-Goldstein, K.**, T. Cutson, W. Zhu, M. Schenkman. "Financial Burden of Chronic Neurological Disorders to Patients and Their Families: What Providers Need to Know." Neurology Report. Special Topic Issue: Quality of Life. 24(4): 140-144, 2000.
- 14) **Whetten-Goldstein, K.**, F.A. Sloan, EM. Stout, L. Liang. "Civil Liability, Criminal Law, and Other Policies and Alcohol-related Motor Vehicle Fatalities in the United States: 1984-1995." Accident Analysis and Prevention. 32:723-733, 2000.
- 13) Sloan, F.A., L. Liang, E.M. Stout, **K. Whetten-Goldstein**. "Liability, Risk Perceptions, and Precautions at Bars." The Journal of Law and Economics. Vol. XLIII (2):473-501, 2000.
- 12) Dreher, T., **K. Whetten-Goldstein**. "A Survey of Beliefs about Managed Care." North Carolina Medical Journal. 60(1):30-33, 1999.
- 11) **Whetten-Goldstein, K.**, E. Kulas, F. Sloan, G. Hickson, S. Entman. "Compensation for Birth-Related Injury: No-Fault Programs Compared With Tort System." Archives of Pediatrics and Adolescent Medicine. 153:41-48, 1999.
- 10) Sloan, F.A., **K. Whetten-Goldstein**, E.M. Stout, G. Hickson. "The Influence of Obstetric No-fault Compensation on Obstetricians' Practice Patterns." American Journal of Obstetrics and Gynecology. 179(3 Pt 1):671-6, 1998.
- 9) Sloan, F.A., **K. Whetten-Goldstein**, E.M. Stout, S. Entman, G. Hickson. "No-Fault System of Compensation for Obstetric Injury: Winners and Losers." Obstetrics and Gynecology. 91 (3):437-43, 1998.

- 8) Sloan, F.A., K.W. Viscusi, H.W. Chesson, C.J. Conover, **K. Whetten-Goldstein**. "Alternative Approaches to Valuing Intangible Health Losses: The Evidence for Multiple Sclerosis." Journal of Health Economics. 17(4):475-497, 1998.
- 7) Sloan, F.A., **K. Whetten-Goldstein**, S. Entman, E. Kulas, E.M. Stout. "The Road from Medical Injury to Claims Resolution: How No-Fault and Tort Differ." Law and Contemporary Problems. 60(1&2):35-70, 1998.
- 6) **Whetten-Goldstein, K.**, F.A. Sloan, L. Goldstein, E. Kulas. "A Comprehensive Assessment of the Cost of Multiple Sclerosis in the United States." Multiple Sclerosis. 4(5):419-425, 1998.
- 5) **Whetten-Goldstein, K.**, F.A. Sloan, E. Kulas, M. Schenkman, T. Cutson. "The Burden of Parkinson's Disease on Society, Family and the Individual." Journal of the American Geriatrics Society. 45(7):844-849, 1997.
- 4) Sloan, F.A., **K. Whetten-Goldstein**, A. Wilson. "Hospital Pharmacy Decisions, Cost Containment, and the Use of Cost-Effectiveness Analysis." Social Science and Medicine. 45(4):523-533, 1997.
- 3) Sloan, F.A., **K. Whetten-Goldstein**, P.B. Githens. "Effects of the Threat of Medical Malpractice Litigation and Other Factors on Birth Outcomes." Medical Care. 33(7):700-714, 1995.
- 2) Entman, S.S., C.A. Glass, P.B. Githens, **K. Whetten-Goldstein**, G.B. Hickson, F.A. Sloan. "The Relationship Between Malpractice Claims History and Subsequent Obstetric Care." Journal of the American Medical Association. 272(20):1588-1591, 1994.
- 1) Hickson, G.B., E.W. Clayton, C.S. Miller, P.B. Githens, **K. Whetten-Goldstein**, S.S. Entman, F.A. Sloan. "Obstetricians' Prior Malpractice Experience and Patients' Satisfaction with Care." Journal of the American Medical Association. 272(20): 1583-1587, 1994.

BOOK CHAPTERS & OTHER PUBLICATIONS

- Montenegro L, Velasque L, Legrand S, **Whetten K**, de Mattos Russo Rafael R, Malta M. Public Health, HIV Case and prevention, human rights and democracy at a crossroad in Brazil. ISSN: 1090-7165 (Print) 1573-3254 pp1-4 March, 2019
- LeGrand S, TL Scheid, **K Whetten**. The Differential Impact of Social Relationships on health Outcomes for HIV Positive Men and Women. In: Special Social Groups: Social Factors and Disparities in Health and Health Care. Eds. Kronenfeld JJ. Vol. 34: 153-174. 2016.
- Reisinger D., Clifford J., Deardorff D., **Whetten K**. Cultures and Languages Across the Curriculum in Global Health: New Curricular Pathways toward Internationalization. Invited chapter. In: Critical Perspectives on Internationalising the Curriculum in the Disciplines: Reflective narrative accounts from business, education and health. Eds. Green W., Whitsed C. Springer Publishing. 2015.
- National Research Council. *Evaluation of PEPFAR*. Washington, DC: The National Academies Press, 2013.
- Kathryn Whetten. "What's wrong with the Children in Families First Act: Opinion" New Jersey Star-Leder. Nov. 1, 2013.
- Murphy, R.A. K.J. O'Donnell, **K. Whetten**, J Adnopo. "Psychosocial Aspects of HIV/AIDS." In: Lewis' Child and Adolescent Psychiatry: A Comprehensive Textbook, Fourth Edition. Editors: A. Martin, F Volkmar. Philadelphia, PA: Lippincott Williams & Wilkins, a Wolters Kluwer business. 2007.
- Kulas, E.D., **K. Whetten-Goldstein**. "Use of Cost Analyses to Improve our Understanding of the Therapeutic Trade-

offs for Multiple Sclerosis.” Multiple Sclerosis: Experimental and Applied Therapeutics, Second Edition. Editors: J.A. Cohen, RA. Rudick. London: Martin Dunitz Ltd. 2003.

Whetten-Goldstein K, T. Nguyen, S. Kim. 2000. “Lessons Learned: Creating an Integrated Service Delivery System for Underserved, Rural-Living Men and Women with HIV/AIDS.” In: Lessons Learned. Washington, DC: HRSA HIV/AIDS Bureau, SPNS Program and Department of Housing and Urban Development, HOPWA Program.

Whetten-Goldstein, K. The Use of Cost Analyses to Improve our Understanding of the Therapeutic Trade-offs for Multiple Sclerosis. Multiple Sclerosis: Experimental and Applied Therapeutics. Editors: RA. Rudick, D.E. Goodkin. London: Martin Dunitz Ltd. 1999.

MONOGRAPHS

Jain, S., **K. Whetten-Goldstein**, L. Igoe, editors. Issues In International Health. The University of North Carolina at Chapel Hill, 1994.

Jain, S., **K. Whetten-Goldstein**, L. Igoe, editors. U.S. Universities and International Health: Issues and Challenges. The University of North Carolina at Chapel Hill, 1992.

SELECTED PRESENTATIONS AND GUEST LECTURES (Past 5 Years)

Guest Lecture. “Gender Minorities around the Global.” For Dr. Jaqueline Gomes de Jesus’ course entitled “LGBTI+ mental health” at Fiocruz University. Fall 2025

Guest Lecture. “Sexual and Gender Minorities around the Global.” For Drs. Hy Huynh and Chris Beyer in their FOCUS seminar. Fall 2024.

Duke Lifelong Learning. Duke Alumni Program. Dissolving Disparities in Health Care. November 2021.

Duke Faculty Advancement. Promoting an Equitable Learning and Research Environment for Your Students. November 2021.

Trauma, HIV and Sexual and/or Gender Minorities. Virtual – Howard University. October 2021.

Baptist Hospitals. How to open a primary care clinic for sexual and/or gender minorities. Virtual – Jacksonville, Florida. October 2021.

Mayo Clinic Grand Rounds Invited Speaker. How to get ready to open transgender clinic. Virtual – Jacksonville, Florida. August 2021.

Duke Global Health Disparities Class. Guest Speaker: Gender. March 2021.

Duke Leaders Presentation. Duke Sexual and Gender Minority Health Program with focus on Clinic Acceptance. 2021.

EMPLOYMENT

2011 – Present	Professor of Public Policy and Global Health with an appointment in Community and Family Medicine
2005 – 2025	Director, Center for Health Policy and Inequalities Research (CHPIR)
2004 – Present	Research Director, Hart Fellows Program, Duke University
2004 – 2011	Associate Professor, Public Policy, Nursing, Community and Family Medicine, and Global Health, Duke University

2002 – 2010	Director, Health Inequalities Program (HIP), Duke University
2003 – 2004	Director, Hart Fellows Program, Duke University
1999 –2004	Assistant Professor, Departments of Public Policy, Nursing, and Community and Family Medicine, Duke University
1996 – 2005	Senior Research Fellow, Center for the Study of Aging and Human Development
2002 – 2003	Interim Director, Hart Fellowship Program, Duke University
2000 – 2001	Acting Director, Center for Health Policy, Law and Management, Duke University
1995 – 1999	Assistant Research Professor, Terry Sanford Institute of Public Policy and the Center for Health Policy, Law and Management, Duke University
1994 – 1995	Research Associate, Center for Health Policy Research and Education, Duke University
1993 – 1994	Associate in Research, Center for Health Policy Research and Education, Duke University
1992 – 1993	Carolina Population Center Trainee, Carolina Population Center, University of North Carolina at Chapel Hill
1991 – 1992	Research Assistant, Health Policy and Administration, University of North Carolina at Chapel Hill
1993	Coordinator, UNC-CH/Peace Corps Joint Initiative. Coordinated the development of a joint Masters of Public Health program between the Peace Corps and five departments in the School of Public Health.
1991 – 1993	Coordinator, Distinguished Lecture Series on International Health, University of North Carolina at Chapel Hill
1989	Public Health Technical Trainer and Training Organizer, Bukavu, Zaire, Central Africa
1986 - 1989	Training Division Director, Masisi, Zaire, Central Africa
1985	Battered Women’s Shelter Counselor and Legal Advocate, Schenectady, New York

TEACHING

“Global Health Ethics: Public Policy as Value Conflict.” GLHLTH 210, PUBPOL 330. (Fall 2013-2025)

“Research Seminar in Sexual and Gender Minority Health.” PUBPOL 378S, GLHLTH 410S (Spring 2022)

“Care of Orphaned and Vulnerable Children in the US and in Low and Middle Income Counties” GLHLTH 5908 and PUBPOL.590s.03.Sp17.(Spring 2017)

Bass Connections: “Addressing Global Health Needs among Refugee Children and Families in Durham County.” Summer 2017-Summer 2018. With Co-Leads, Deb Reisinger, Director of Culture and Languages Across the Curriculum (CLAC), Nathan Thielman, MD and Emily Emaili, MD and Refugee Child Pediatrician.

“Introduction to Global Health: Multidisciplinary Approaches to Global Health.” PubPol 166, GLHLTH, 161/Law

364, Nursing 455, Interdis 110B (Fall 2012).

“Global Health Ethics.” Public Policy Studies 155/256, Global Health 151/251. (Spring 2008, 2009, Fall 2009, 2010).

“Global Health Ethics.” Global Health 151, Global Health 251. (Fall 2007).

“Social Dynamics of Global Health.” Public Policy Studies 196S. Duke University (2006 fall and spring)

“Global Health.” Law 364, Public Policy 254/154, Nursing 455, Interdisciplinary 100B. Duke University (2005-2009)

“Public Health Research Methods.” Public Policy Studies 263S. Duke University (2005-2008)

“Health Policy Certificate Capstone Course.” Public Policy Studies 255S. Duke University (2005-2006).

“Health Policy: Prevention and Management.” Public Policy Studies 263S. Duke University (1999-2003).

“Health Policy: Public Policy Studies 157.” Duke University (2000-2004).

“Health Policy: Prevention and Management.” Public Policy Studies 264S.53. Duke University (1996-1998).

“Epidemiology and Health Services Management.” (series of 3 lectures). Fuqua School of Business, Duke University (1995-1997).

OFFICES HELD

Associate Director, Community and Culture, Duke Global Health Institute (January 2019 – 2023)

Sanford Committee on Diversity and Inclusion, Co-chair, Sanford School of Public Policy, (December 2013- 2021)

Duke Global Health Institute Management Committee (2005-Present)

Research Director, Hart Fellows Program, Sanford School of Public Policy, (2002 – Present)

Member, Office for the Vice Provost for Faculty Advancement Faculty Advisory Committee (OVFA) (2017–Present)

Maternal, Adolescent and Child Health (MACH) working group, Chair, Duke Global Health Institute (September 2014-2018)

Global Mental Health Committee, Duke Global Health Institute (2012-Present)

Advisory Committee to the Hate and Bias Task Force at Duke University, Co-Chair. (December 2016 – May 2018)

Duke University Implementation Committee for the Diversity Task Force (July 2015-May 2016)

Duke University Diversity Task Force, Co-chair (June 2014-July 2015)

Advisory Board for Evidence Lab, Duke Global Health Institute, (2012-2020)

Advisory Board, Center for Global Women’s Health and Technology, Duke University, (2014-2017).

Member, Institute of Medicine (IOM) Committee for the Outcome and Impact Evaluation of Global HIV/AIDS Programs Implemented Under the Lantos/Hyde Act of 2008. November 2010 to February 2013.

Member, Institute of Medicine (IOM) Committee on Planning the Evaluation of Programs Under the US Leadership Against HIV/AIDS, TB, and Malaria Reauthorization Act of 2008. November 2008 to September 2010.

Reviewer, NIH grant application. January 2008 to present.

Global Health Institute creation committee and Director Search Committee

Member, Duke Engage Board of Advisors (2007-2009)

Member, Global Health Certificate Board (2005 – 2009)

Member, Grant Review Committee. University wide AIDS Research Program, University of California. April 2006 to 2008.

Member, Grant Review Committee. Health Care Delivery and Policy Research Committee, National Multiple Sclerosis Society. September 2003 to 2009.

Member, Academic Council, Duke University. April 2003 to 2005.

Member, Expert Advisory Board, Peer Education and Training Site (PETS) Project. January 2003 to 2007.

Arts and Sciences Liaison for the Duke University Center for AIDS Research (CFAR) Clinical Core Advisory Committee. June 1999 to 2004.

Chair, Publications Committee. Partnership Steering Committee for the Special Projects of National Significance II. January 1998 to 2000.

Member, North Carolina HIV Medications Program Advisory Committee - July 1997 to 2000.

Member, North Carolina AIDS Care Unit Advisory Committee, January 1997 to 2000.

Member, Data Committee. Partnership Steering Committee for the Special Projects of National Significance II. January 1997 to January 1998.

Member, North Carolina Expert Panel on Children and Families Data. 1997.

HONORS & AWARDS

2001-2002	Nominated, Alumni Distinguished Undergraduate Teaching Award
2000-2001	Nominated, Alumni Distinguished Undergraduate Teaching Award
1998-1999	Nominated, Alumni Distinguished Undergraduate Teaching Award
1992	Carolina Population Center-funded Trainee
1991	Delta Omega, Public Health Honors Society
1989	Peace Corps Fellow (1 of 31 volunteers selected from 1963 to 1990)

EXTERNAL SUPPORT

Present

“Sexual and Gender Minority Mental Health in Low- and Middle-Income Countries” (SMILE)

Principal Investigators: Kathryn Whetten

Funding: National Institute of Mental Health (NIMH)

Duration: 5/1/2020 – 2/28/2026

Total Award amount: \$3,471,019

SMILE will characterize the mental health symptomatology and needs of seven different SGM groups in three culturally and contextually distinct LMICs. Respondent Driven Sampling (RDS) as well as mass media recruitment will be used to enroll a longitudinal cohort; the cultural, contextual, interpersonal, and individual determinants of mental health outcomes over 24 months will be identified. Discrete Choice Experiments (DCEs) will be used to elicit SGM preferences for different characteristics of evidence-based mental health treatments (EBTs) that can be feasibly provided and sustained for this very vulnerable population.

“Advancing Equity in Adolescent Health Through Evidence-Based Teen Pregnancy Programs and Services (ENC HEAL)”

Principal Investigators: Kathryn Whetten, Genevieve Hunter and Jennifer Lansford

Funding: Office of Population Affairs (OPA) in the Department of Health and Human Services

Duration: 07/2023-06/2028

Total Award Amount: \$4,900,000

This project expands comprehensive sexuality education and positive youth development programming through Evidence-Based Programs (EBP) to improve equity for adolescents in the areas of sexual, reproductive, mental health and socio-emotional needs. This will be accomplished over a five-year period through CHPIR's Eastern North Carolina Health Equity for Adolescent Lives (ENC-HEAL) project. This project will serve up to 1,075 adolescents (ages 13-21) in nine counties in Eastern North Carolina including: Craven, Edgecombe, Halifax, Hertford, Martin, Nash, Pitt, Tyrell, and Washington Counties.

PREPare for Success (PREP)

Principal Investigators: Kathryn Whetten and Genevieve Hunter

Funding: Division of Public Health, North Carolina Department of Health and Human Services

Duration: 06/2024-5/31/2026

Total Award Amount: \$255,000

PREPare for Success (PREP) is a program of Teen Pregnancy Prevention Initiates (TPPI) that focuses on reducing the teen pregnancy and sexually transmitted infection (STI) rates in North Carolina. PREPare for Success is designed to educate adolescents on both abstinence and contraception to prevent pregnancy and STIs, including HIV/AIDS, and adulthood preparation subjects which include health relationships, healthy life skills, adolescent development, and parent-child communication. This program will serve Craven County, North Carolina.

Adolescent Pregnancy Prevention Program (AP3)

Principal Investigators: Kathryn Whetten and Genevieve Hunter

Funding: Division of Public Health, North Carolina Department of Health and Human Services

Duration: 06/2023-5/31/2025

Total Award Amount: \$266,000

The Duke Adolescent Pregnancy Prevention Program (AP3) is a program that will implement at least (1) teen pregnancy prevention curriculum that has evidence of effectiveness in reducing teen pregnancy rates or changing behaviors that cause pregnancy including delaying sexual activity and increasing the use of condoms and contraception. Implementing an approved program model with fidelity shall contribute to the reduction of pregnancies among the group being served. This program serves the following North Carolina Counties: Pitt and Greene

DREAM-ENGAGE-Duke Research for Equitable Access to Medicine-Stakeholder Engagement Project

Principal Investigators: Kathryn Whetten and Carly Kelley

Funding: Patient-Centered Outcomes Research Institute (PCORI)

Duration: 09/01/2023-12/31/2025

Total Award Amount: \$249,997

DREAM-ENGAGE is a 2-year PCORI funded program that seeks to increase capacity among patient and stakeholder project partners in the Southern US to develop and pursue a longitudinal gender affirming hormone therapy (GAHT)-specific patient-centered outcomes comparative clinical effectiveness research (PCOR/CER) agenda. As more gender diverse patients are presenting for care, the need for research to better understand GAHT is increasing. The program includes building capacity for a collaborative partnership of gender diverse patient and stakeholder partners, clinicians, and researchers; identify GAHT-related PCOR/CER priorities and disseminate PCOR/CER GAHT-related priorities and outcome measures to gender diverse communities, organizations, health researchers, clinicians, and policymakers.

“RISE Study (“Resist, Intervene, Support, Empower”): Feasibility/Acceptability of MHealth intervention targeting transgender women facing gender-based violence and mental health disorders in Brazil.”

Principal Investigator: Monica Malta, Centre for Addiction and Mental Health, Toronto, Canada

Duration: 6/30/2021 – 7/01/2023

Total Award amount: \$339,158

Duke Principal Investigators: Kathryn Whetten and Sara LeGrand

Duke Award amount: \$159,591

Funding: National Institute of Mental Health (NIMH)

Brazil is responsible for more than 40% of all transgender homicides reported worldwide. In 2016/17 we conducted a survey with 2,846 TGW from twelve Brazilian cities, identifying 60% prevalence of lifetime violence (physical and/or sexual), 60% of participants screened positive for MDD, 38% for GAD, and 35% attempted suicide. The majority of violent incidents were not reported to the police (91%) and most victims did not receive any counseling or mental health (MH) treatment (82%). RISE utilizes community-based participatory research to adapt an online platform that will use crowd-sourcing by TGW in Rio de Janeiro, Brazil to identify safe and unsafe city locations, inform participant identified persons of real-time violence and location and periodically assess mental health symptomatology and provide information for mental health counselors. This R21 exploratory project proposes to recruit 200 TGW from Rio de Janeiro, Brazil to study the implementation of RISE.

Completed (40 grants, PI or M-PI on 25)

“Positive Outcomes for Orphans (POFO): HIV Risk as Young Adults”

R01HD090984

Principal Investigators: Kathryn Whetten and Jan Ostermann (MPIs)

Funding: Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD)

Duration: 7/1/2017 - 6/30/2024

Total Award amount: \$2,791,000

POFO III builds on the previous POFO longitudinal study of orphaned and separated children (OSC) across 4 low income countries. The study will identify predictors of HIV-risk behaviors, HIV testing and engagement in HIV care as these children transition into young adulthood. Up to 14 years of data will be used to develop models that identify early those OSC at highest risk for HIV infection as young adults. A new sample of OSC from each study site will allow for the proper weighting of the original POFO cohort to ensure the relevance of its outcomes to today’s population of OSC. The study will use Discrete Choice Experiments (DCEs) to identify how preferences for HIV prevention programs differ by site and gender. Results may be used to develop targeted HIV prevention programs, while methods can be applied to make programs locally acceptable and thus more effective.

“Building and Sustaining Interventions for Children (BASIC): Task Sharing Mental Health Care in Low Resource Settings”

R01MH112633

Principal Investigators: Kathryn Whetten and Shannon Dorsey (MPIs)

Funding: National Institute of Mental Health (NIMH)

Duration: 7/1/2017 - 6/30/2022

Total Award Amount: \$3,356,000

BASIC builds on our 15-year history of NIH-funded collaborations with research partners in Kenya. This objective of this study is to learn the necessary conditions under which teachers and community health volunteers can deliver with fidelity Trauma-focused Cognitive Behavioral Therapy (TF-CBT). Our past research provides strong empirical support for TF-CBT delivered by lay providers via task-sharing in Kenya.

“Evaluation of an intervention to enhance caregiving practices in child development centers in El Salvador”

Principal Investigators: Jan Ostermann, Kathryn Whetten (MPIs)

Funding: Whole Child International (WCI)

Duration: 5/1/2014-10/31/2019

The primary aims of this four-year study are 1) to evaluate the effects of an educational intervention by WCI on caregivers and children in child development and child protection centers in El Salvador; and 2) to convert the WCI-

QCUALS quality of child care assessment tool into an electronic application to strengthen its capacity for monitoring and evaluating the quality of care in diverse settings.

“Transforming and Sustaining: Wellbeing Practices for Teachers and Caregivers of Children”

Principal Investigators: Rae Jean Proeschold-Bell and Kathryn Whetten

Using a case study methodology, we will identify innovative practices for promoting teacher well-being and preventing teacher burnout in high-performing schools that are both well-resourced and low in resources in Kenya, Cambodia, and Qatar. We will examine state policies and local educational structures that correlate with teacher well-being. We will also conduct a multinational literature synthesis on correlates of teacher burnout, well-being interventions, and the relationship between teacher well-being and student outcomes.

Funding: Qatar Foundation

Duration: 9/1/2020 – 7/31/2021

“Wellbeing of Sexual and Gender Minorities in 4 Low and Middle Income Countries”

Role: Principal Investigator

Purpose: This project will conduct semi-structured interviews and focus groups to examine the challenges and needs of challenges members of sexual and gender minority populations living in Kenya, Brazil, India, and Cambodia. A literature review will also compile local and national policies affecting sexual and gender minorities in each setting.

Funding: Office of Global Affairs, Duke University

Funds: \$25,000

Duration: 6/1/2017 – 5/31/2018

“Positive Outcomes for Children Orphaned by AIDS” (POFO II)

R01HD046345

Role: Principal Investigator

Purpose: The objective of this 5-country longitudinal study of orphaned children is to examine the influence of orphan placement characteristics, caregiver characteristics, and culture, on 1) orphan behavior and emotional adjustment; 2) learning and achievement; 3) post-traumatic stress disorder and depression; and 4) health status including health related quality of life. 2,800 children and their caregivers will be followed over 4 years with contextual analyses performed.

Funding: Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD)

Funds: \$2,648,294

Duration: 9/28/2010 – 07/31/2016

“Improving Health Outcomes for Orphans by Preventing HIV/STD Risk” (CBT II)

Principal Investigators: Shannon Dorsey, Kathryn Whetten (MPIs)

Purpose: This project is a randomized controlled trial examining the effectiveness of Trauma-focused Cognitive Behavioral Therapy (TF-CBT), compared to receipt of usual care orphan services, in treating traumatic grief and traumatic stress among orphaned children and young adolescents in Moshi, Tanzania and Bungoma, Kenya.

Funder: National Institute of Mental Health (NIMH)

Funds: \$2,227,281

Duration: 2/13/2012 – 12/31/2017

“Randomized Controlled Trial of Ways to Improve OVC HIV Prevention and Wellbeing”

2001426056 (Duke Subaward from Johns Hopkins University, R01HD070720)

Role: Duke University Lead Investigator

Purpose: Testing trauma-focused CBT to reduce HIV risk behavior in adolescents in Zambia.

Funder: Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD)

Duration: 9/22/11 – 12/31/17

“Pathways to Health and Well-Being: Social Networks of Orphaned and Abandoned Youth (SNA)”

R21HD072810

Role: Principal Investigator

Purpose: The primary goal of this study is to determine key factors that may put youth at a disadvantage as they transition from structured care settings into their adult lives and those that support positive transitions. To accomplish this, researchers will study existing education and employment support networks as well as sexual communities to

determine how certain characteristics of these networks are associated with OAC health outcomes, including poor education, ability to generate income, and HIV risk-taking behaviors.

Funder: Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD)

Funds: \$305,908

Duration: 4/1/12 – 3/31/2016

“Coping With HIV/AIDS in Tanzania” (CHAT)

R01MH078756

Role: Principal Investigator

Purpose: The objective of this study is to understand the factors influencing adherence in less wealthy nations as ART expands in order to slow down the spread of medication-resistant virus. We will follow 750 newly diagnosed HIV+ persons, 500 previously diagnosed HIV+ persons and 750 HIV- persons. Baseline data will be used to examine associations between psychosocial (including trauma, mental health symptomatology, coping strategies and life events), sociodemographic, economic factors and health status, adherence to ART, and health promotion and high-risk activities.

Funding: National Institute of Mental Health (NIMH)

Funds: \$2,461,560

Duration: 05/01/07 – 05/31/2014

“Positive Outcomes for Children Orphaned by AIDS” (POFO I)

R01HD046345

Role: Principal Investigator

Purpose: The objective of this 5-country longitudinal study of orphaned children is to examine the influence of orphan placement characteristics, caregiver characteristics, and culture, on 1) orphan behavior and emotional adjustment; 2) learning and achievement; 3) post-traumatic stress disorder and depression; and 4) health status including health related quality of life. 2,800 children and their caregivers will be followed over 4 years with contextual analyses performed.

Funding: Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD)

Funds: \$2,651,740

Duration: 09/1/05 – 05/31/10

“Cognitive Behavioral Therapy for Orphans”

R34MH081764

Role: Principal Investigator

Purpose: This study is designed to examine a) how an evidence-based CBT intervention for orphaned children can be adapted to the local environment and a local program in Tanzania; b) how it can be integrated into the existing community public health infrastructure; c) how children and caregivers can be recruited and retained; and d) whether the intervention results include promising outcomes for the health and psychosocial well being of children and their guardians.

Funding: National Institute of Mental Health (NIMH)

Funds: \$582,004

Duration: 8/6/09 – 7/31/12

“Participatory Evaluation for EJAF funded Save the Children Activities”

Role: Principal Investigator

COPE evaluates the outcomes of an intervention to support families caring for orphans through monasteries in Cambodia.

Funds: \$300,000

Duration: 2008 – 2012

Principal Investigator: Charles Hicks, MD

Role: Research and Health Policy Trainer for Physicians

Purpose: Mid-Career Investigator Award in Patient Oriented Research

Funding Agencies: National Institute of Allergy and Infectious Diseases

Duration: 2004 – 2009

“Monitoring and Evaluation Consultancy for Malawi” (MOVE)

Role: Principal Investigator

Purpose: The proposal presents a model for monitoring and evaluating the Funders’ Collaborative For Children Program for orphans in Malawi. The goal of the strategies proposed is to monitor and evaluate activities and outcomes designed by the program and its effectiveness.

Funding: Funders’ Collaborative For Children (FCFC)

Funds: \$1,000,000

Duration: 2008 – 2012

“Integrative Treatment Model for Mentally Ill, Pregnant Women in Russia”

Role: Principal Investigator

Purpose: This pilot study is designed to examine the feasibility of an integrative treatment model for mentally ill, pregnant women who are HIV-positive or at risk for HIV in St. Petersburg, Russia.

Funding: National Institute of Mental Health (NIMH)

Funds: \$597,420

Duration: 09/01/05 – 08/31/08

“Secondary Analysis of Existing Alcohol and HIV/AIDS Data”

Role: Principal Investigator

Purpose: To examine the effects of alcohol consumption on (1) individuals’ preventive and risk behaviors associated with contracting HIV, (2) the probability of HIV positive individuals being prescribed HIV medications and adherence to such medication, (3) health status, and (4) health care utilization and costs. The study uses several national datasets including CHASE, MEPS, NHIS and Russian databases.

Funding: National Institute of Alcoholism and Alcohol Abuse (NIAAA)

Funds: \$394,211

Duration: 9/1/05 – 8/31/08

“Barriers to HIV Care Following Release From Prison”

Principal Investigator: David Wohl, MD

Role: Investigator (Principal Investigator for Duke University Site)

Funding Agencies: National Institute on Drug Abuse (NIDA)

Duration: 2003 – 2008

“Fatigue in HIV-Positive People”

Principal Investigator: Julie Barroso, PhD

Role: Investigator

Funding Agency: National Institute for Nursing Research

Duration: 2004 – 2008

“Coping with HIV in the Rural South (CHASE)”

Role: Principal Investigator

Purpose: Examine the effects of trauma history on the course of HIV/AIDS in Deep South. To examine psychosocial adaptation, utilization of physical, mental, and nontraditional health services, attitudes about AIDS, and self-reported adherence to medical regimens of HIV-infected individuals in the South.

Funding Agencies: National Institute of Mental Health (NIMH), National Institute on Drug Abuse (NIDA), National Institute of Nursing Research (NINR)

Funds: \$2,979,175

Duration: 2000 – 2006

“Piedmont HIV Integrated Community Access System (PHICAS)”

Role: Principal Investigator

Funding Agency: Health and Human Services

Funds: \$1,900,000

Duration: 2003 – 2006

“North Carolina HIV/AIDS Training Network”

Role: Principal Investigator
Funding Agency: Health Resources and Services Administration through Emory University School of Medicine
Funds: \$590,137
Duration: 2000 – 2009

“Capacity Building for Persons with HIV, Substance Abuse and Mental Health Diagnoses”
Role: Principal Investigator
Funding Agency: Substance Abuse and Mental Health Services Administration (SAMHSA)
Funds: \$2,500,000
Duration: 2002 – 2007

“Information Technology and Health Outcomes (HIHOPE)”
Role: Principal Investigator
Funding Agency: Health Resources and Services Administration
Funds: \$1,600,000
Duration: 2002 – 2006

“Pilot Adult HIV/AIDS Research and Orphan Research”
Funding Agencies: The Trent Foundation; The Institute on Care at the End of Life; GlaxoWellcome; the Vice Provost’s fund
Funds: \$40,000
Duration: 2002 – 2003

“Integrated Mental Health/Substance Abuse/HIV Delivery System”
Role: Principal Investigator
Funding Agency: The National Institutes of Health (NIH), Substance Abuse and Mental Health Services Administration (SAMHSA), and Health Resources and Services Administration (HRSA)
Funds: \$3,800,000
Duration: 1998 – 2004

Role: Principal Investigator
Purpose: Integrated HIV/AIDS Delivery System for Rural Eastern North Carolina
Funding Agency: North Carolina State Health Department
Funds: \$200,000
Duration: 2001 – 2002

Role: Principal Investigator
Purpose: Integrated HIV/AIDS Delivery System for Rural Eastern North Carolina
Funding Agency: Health Resources and Services Administration
Funds: \$2,900,000
Duration: 1996 – 2002

Role: Principal Investigator
Purpose: Integrating Mental Health Services for Persons with HIV
Funding Agency: Kate B. Reynolds Foundation
Funds: \$501,741
Duration: 1998 – 2001

Role: Co-Principal Investigator
Purpose: Evaluation of Ancillary Services for HIV/AIDS Patients
Funding Agency: Health Resources and Services Administration
Funds: \$87,477
Duration: 1998 – 2000

Role: Investigator / Evaluator
Purpose: HIV Prevention through Coordinated STD Services in a Southern Community

Funding Agency: Centers for Disease Control (CDC) (Subcontract with UNC-CH)
Funds: \$15,000 (subcontract)
Duration: 1998 – 2000

Role: Administrator
Purpose: Purchase of hardware for HIV case management agencies to link with NCSIP
Funding Agencies: Various pharmaceutical companies (GlaxoSmithKline, BMS, Agouron, DuPont)
Funds: \$65,000
Duration: 1997 – 2001

Role: Principal Investigator
Purpose: Burden of Parkinson's Disease
Funding Agency: Claude D. Pepper Older American Independence Center
Funds: \$30,000
Duration: 1995 – 1999

Role: Principal Investigator/Project Director
Purpose: Improve patient access to HIV-related information
Funding Agency: National Library of Medicine
Funds: \$38,835
Duration: 1998 – 1999

Role: Investigator
Purpose: Effects of Public Subsidies on Use of Long-Term Care
Funding Agency: National Institutes of Health
Funds: \$595,252
Duration: 1996 – 2000

Role: Investigator
Purpose: Substance Abuse and Risk Reduction Counseling in HIV
Funding Agency: National Institute on Drug Abuse (NIDA)
Funds: \$309,700
Duration: 1998 – 1999

Role: Investigator
Purpose: Heavy Drinking and Driving: Which Deterrents Work?
Funding Agency: National Institute of Alcoholism and Alcohol Abuse
Funds: \$986,370
Duration: 1995 – 1999

Role: Project Coordinator/Investigator
Purpose: Experience of No-Fault in Florida and Virginia
Funding Agency: Robert Wood Johnson Foundation
Funds: \$517,720
Duration: 1995 – 1997

Role: Investigator
Purpose: Evaluation of GEM Units and Geriatric Follow-Up
Funding Agency: Department of Veterans Affairs
Funds: \$4,900,000
Duration: 1994 – 1997

Role: Project Coordinator/Investigator
Purpose: Cost-Effectiveness Analysis in Decision-Making
Funding Agencies: Eli Lilly and Company, Pfizer, Johnson and Johnson, Glaxo, and Ciba Pharmaceuticals
Funds: \$200,000

Duration: 1995 – 1996

Role: Project Coordinator/Investigator

Purpose: The Economic Burden of Multiple Sclerosis

Funding Agency: National Multiple Sclerosis Society

Funds: \$200,000

Duration: 1994 – 1995

Role: Project Coordinator/Investigator

Purpose: How Do the Elderly Finance their Stays in Nursing Homes? A Pilot Study

Funding Agency: National Institute on Aging

Funds: \$10,000

Duration: 1995

Role: Investigator

Purpose: CCRCs: An Efficient Alternative for Long-Term Care Provision and Financing

Funding Agency: Robert Wood Johnson Foundation

Duration: 1992 – 1994

Role: Investigator

Purpose: Birth Outcomes, Satisfaction with Care and Malpractice

Funding Agency: Agency for Health Care Policy and Research

Duration: 1990 – 1994